



Financial Sector
Conduct Authority

FSCA FAIS Notice 40 of 2026

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

AMENDMENT OF THE NOTICE ON EXEMPTION OF COMPLIANCE OFFICERS FROM SECTION 4(4) OF THE NOTICE ON COMPLIANCE OFFICERS, 2026

The Financial Sector Conduct Authority, in terms of paragraph 3(a) of the Notice on Exemption of Compliance Officers from section 4(4) of the Notice on Compliance Officers, 2017, published by FAIS Notice 119 of 2017, as amended by FSCA FAIS Notice 89 of 2019, FSCA FAIS Notice 142 of 2021 and FSCA FAIS Notice 85 of 2023, hereby amends the Schedule to that Notice by the substitution of the date “30 June 2026” in paragraph 2(4) of the date “**30 June 2029**”.

This Notice is called the Amendment of the Exemption of Compliance Officers from section 4(4) of The Notice on Compliance Officers, 2026, and comes into effect on 1 July 2026.

**HANNELIE HATTINGH
SENIOR MANAGER: REGULATORY FRAMEWORKS
FINANCIAL SECTOR CONDUCT AUTHORITY**

Date of publication: 30 June 2026